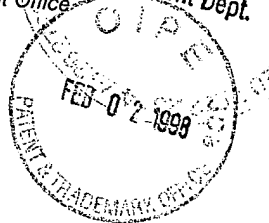


In re Application of By Debra J. Glaister  
Timothy Fowler et al.  
Serial No. UNKNOWN Reg. No. 33,888  
Filed June 23, 1997 Mailed January 27, 1998  
Docket No. GC372 Certificate of Mailing  
The following have been received in the US Patent Office  
on the date stamped:  
Requesting Status of application -- Original  
Copy of Express Mail receipt  
Copy of Transmittal Sheet of the Application

In re Application of By Debra J. Glaister  
Timothy Fowler et al.  
Serial No. UNKNOWN Reg. No. 33,888  
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Copy of Express Mail receipt  
Copy of Transmittal Sheet of the Application

FEB 1998  
RECEIVED  
GCI Patent Dept.



876,132 6-23-97

TJM

Docket No. CG372

BOX PATENT APPLICATION  
 Assistant Commissioner for Patents  
 Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the patent application of: Timothy Fowler and Stuart Causey

For: IMPROVED *ENTEROBACTERIACEAE* FERMENTATION STRAINS

Also enclosed are:

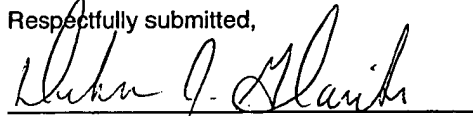
- ☒ 8 Sheets of Informal Drawings; Figs. 1-3  
☒ Declaration and Power of Attorney - \_\_\_\_\_ Executed; ☒ Unexecuted  
☐ Sequence Listing  
☐ Computer Readable Sequence Listing  
☐ Statement of Sameness  
☐ Information Disclosure Statement

The filing fee has been calculated as shown below:

Claims	Filed	Extra	Rate	Basic Fee \$ 770
Total	20 - 20 =	-0-	X \$ 22	-0-
Independent Claims	5 - 03 =	2	X \$ 80	\$160
<input type="checkbox"/> Multiple Dependent Claim(s)			\$ 250	-0-
TOTAL FEES				\$ 930.00

- ☒ Please charge \$ 930.00 to Deposit Account 07-1048. This sheet is submitted in duplicate.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account 07-1048. This sheet is submitted in duplicate.
- ☒ Any additional fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application, or credit any overpayment, to Deposit Account 07-1048. This sheet is submitted in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

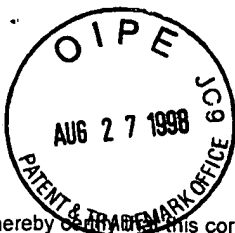
  
 Debra J. Glaister  
 Reg. No. 33,888

Genencor International, Inc.  
 925 Page Mill Road  
 Palo Alto, CA 94034  
 415/846-7620  
 Date: June 23, 1997

I hereby certify that this correspondence is being deposited with the US Postal Service Express Mail service under 37 CFR 110, Express Mail Label No. EM096172282US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Date: June 23, 1997

By:   
 Avis Ridenour



I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box DAC, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Date: August 24, 1998

By:

Kay L. Gaviglio

PATENT  
Docket No. GC372

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	
	)	
Timothy Fowler et al.	)	Group Art Unit: 1802
	)	
Serial No. 08/876,132	)	
	)	Examiner: Unassigned
Filed: June 23, 1997	)	
	)	
For: Improved <i>Enterobacteriaceae</i>	)	
Fermentation Strains	)	

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Box DAC  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Application Processing Division's  
Customer Correction Branch

Sir:

Enclosed is a copy of the Official Filing Receipt outlined in red to show the correction that is needed. The correction is as follows:

1. Please delete the incorrect spelling in the mailing address "Genencor Intellernation, Inc."  
and insert -- Genencor International, Inc.-- in place thereof.

A corrected Official Filing Receipt is respectfully requested.

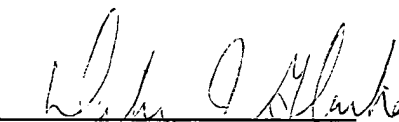
Applicants believe that no fee is due for the corrected filing receipt because this error appears to be a USPTO error.

U.S. Serial No. 08/876,132  
Page 2

The Commissioner is hereby authorized to charge the fees necessitated by the filing of these documents, or to charge any additional fees under 37 C.F.R. 1.16 and 1.17 or to credit any overpayment, to Deposit Account No. 07-1048. This communication is submitted in triplicate for USPTO accounting purposes.

Respectfully submitted,

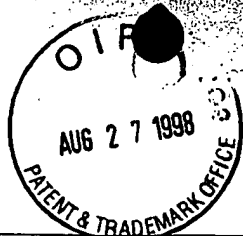
Date: August 24, 1998



Debra J. Glaister  
Registration No. 33,888

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94304  
Tel: 650-846-7555  
Fax: 650-845-6504

FILING RECEIPT

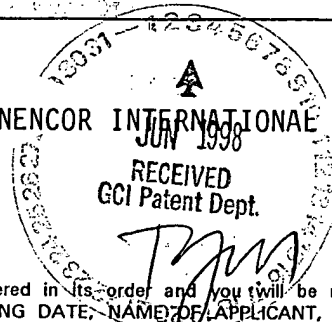


UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/876,132	06/23/97	1802	\$930.00	CG372	7	20	5

DEBRA J GLAISTER  
GENENCOR INTELLERNATION INC /  
180 KIMBALL WAY  
SOUTH SAN FRANCISCO CA 94080

should be "GENENCOR INTERNATIONAE INC."



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

TIMOTHY FOWLER, PALO ALTO, CA; CAUSEY STUART, PALO ALTO, CA.

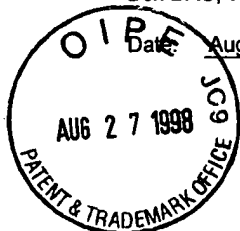
FOREIGN FILING LICENSE GRANTED 09/05/97

TITLE

ENTEROBACTERIACEAE FERMENTATION STRAINS

PRELIMINARY CLASS: 435

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Box DAC, Washington, D.C. 20231.



Date: August 24, 1998

By:

*Kay L. Gaviglio*  
Kay L. Gaviglio

**PATENT**  
**Docket No. GC372**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	
	)	
Timothy Fowler et al.	)	Group Art Unit: 1802
	)	
Serial No. 08/876,132	)	
	)	
Filed: June 23, 1997	)	Examiner: Unassigned
	)	
For: Improved <i>Enterobacteriaceae</i>	)	
Fermentation Strains	)	

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

BOX DAC  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File-Missing Parts of Application dated  
September 5, 1997, enclosed please find:

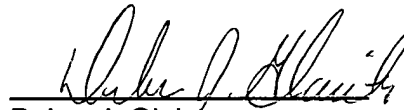
- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s)  
and the surcharge of \$130.00 as set forth in 37 C.F.R.  
§ 1.16(e);
- ☐ Petition for a \_\_\_\_\_ Month Extension of Time;
- ☐ Assignment document, Form PTO-1595, and the \$40.00 Assignment  
Recording Fee;
- ☐ Revocation & New Power of Attorney;
- ☒ Preliminary Amendment
- ☒ Statement of Sameness
- ☒ Paper copy of Sequence Listing (4 pages)
- ☒ Diskette copy of Sequence Listing

- ☐ Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (copy of Notice to be returned with response);
- ☒ Form PTO-1533 (copy of Notice to be returned with response); and
- ☐ Other: \_\_\_\_\_.
- ☒ Charge \$130.00 to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC372). A triplicate of this paper is enclosed.

Respectfully submitted,

Date: August 24, 1998



Debra J. Glaister

Registration No. 33,888

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94304  
Tel: 650-846-7620  
Fax: 650-845-6504

U/disk  
SECTOR/4  
Box SEQ  
- 6-16A1



I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Box DAC, Washington, D.C. 20231.

Date: August 24, 1998

By:

*Kay L. Gaviglio*  
Kay L. Gaviglio

**PATENT**  
**Docket No. GC372**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

16A1  
In re Application of )  
Timothy Fowler et al. ) Group Art Unit: 1802  
Serial No. 08/876,132 )  
Filed: June 23, 1997 ) Examiner: Unassigned  
For: Improved *Enterobacteriaceae* )  
Fermentation Strains )

**TRANSMITTAL LETTER**

Box DAC  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find the following documents:

1. Petition to Withdraw the Holding of Abandonment Pursuant to M.P.E.P. Section 711.02(a);
2. Request for Corrected Official Filing Receipt;
3. Change of Address;
4. Preliminary Amendment with three attachments;
5. Paper Sequence Listings (4 pages);
6. Statement of Sameness;
7. Information Disclosure Statement;
8. PTO-1449 including eleven references;
9. Response to Notice of Missing Parts with appropriate fee; and
10. Form 1533.

**RECEIVED**  
**SEP 02 1999**  
**GROUP 1800**

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this paper, and to credit any overpayment, to



Deposit Account No. 07-1048 (Docket No. GC372). A triplicate of this paper is enclosed.

Respectfully submitted,



Debra J. Glaister

Registration No. 33,888

Date: August 24, 1998

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94304  
Tel: 650-846-7620  
Fax: 650-845-6504

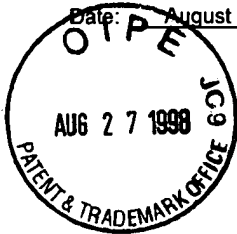
I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box DAC, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Date: August 24, 1998

By:

  
Kay L. Gaviglio

**PATENT**  
**Docket No. GC372**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of )

Timothy Fowler et al. )

Serial No. 08/876,132 )

Filed: June 23, 1997 )

For: Improved *Enterobacteriaceae* )  
Fermentation Strains )

Group Art Unit: 1802

Examiner: Unassigned

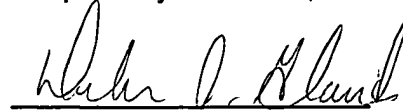
**STATEMENT OF SAMENESS**

BOX DAC  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(d), the computer readable copy of the sequence listing, and the paper copy submitted herewith in the above application are believed to be the same.

Respectfully submitted,



Debra J. Glaister

Registration No. 33,888

Date: August 24, 1998

Genencor International, Inc.  
925 Page Mill Road  
Palo Alto, CA 94304  
Tel: 650-846-7555  
Fax: 650-845-6504